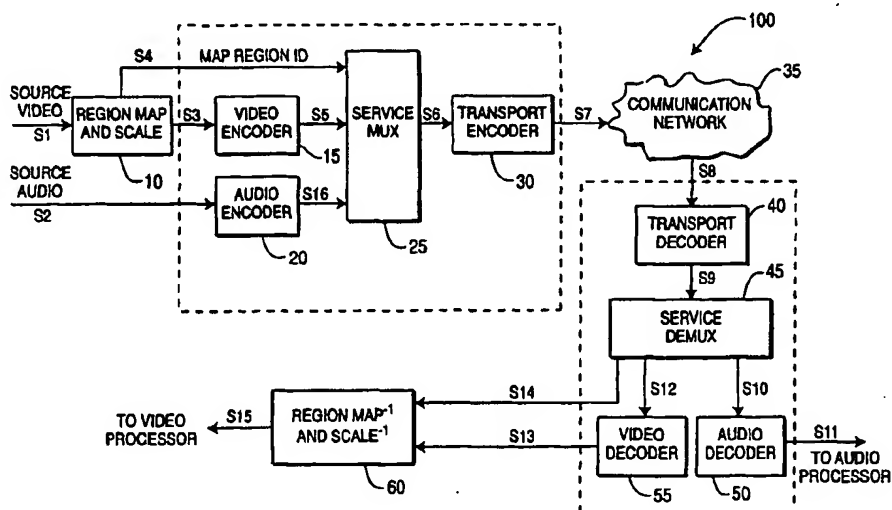




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 7 :</b> <b>H04N 7/26, 7/52</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/64185</b> <b>(43) International Publication Date:</b> 26 October 2000 (26.10.00)
<b>(21) International Application Number:</b> PCT/US00/10295 <b>(22) International Filing Date:</b> 17 April 2000 (17.04.00) <b>(30) Priority Data:</b> 09/292,693 15 April 1999 (15.04.99) US <b>(71) Applicant:</b> SARNOFF CORPORATION [US/US]; 201 Washington Road, CN 5300, Princeton, NY 08543-5300 (US). <b>(72) Inventors:</b> REITMEIER, Glenn, Arthur; 193 Cinnabar Lane, Yardley, PA 19067 (US). TINKER, Michael; 35 Highland Drive, Yardley, PA 19067 (US). <b>(74) Agents:</b> NEY, Andrew, L.; Ratner & Prestia, 301 One Westlakes (Berwyn), P.O. Box 980, Valley Forge, PA 19482-0980 (US) et al.		<b>(81) Designated States:</b> CA, JP, KR, SG, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** STANDARD COMPRESSION WITH DYNAMIC RANGE ENHANCEMENT OF IMAGE REGIONS

**(57) Abstract**

A method and apparatus for preserving the dynamic range of a relatively high dynamic range information stream, illustratively a high resolution video signal (51), subjected to a relatively low dynamic range encoding and/or transport process(es). The invention subjects the relatively high dynamic range information stream to a segmentation and remapping process (10) whereby each segment is remapped to the relatively low dynamic range appropriate to the encoding and/or transport process(es) utilized. An auxiliary information stream (54) includes segment and associated remapping information such that the initial, relatively high dynamic range information stream may be recovered in a post-encoding (i.e. decoding) or post-transport (i.e. receiving) process.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## STANDARD COMPRESSION WITH DYNAMIC RANGE ENHANCEMENT OF IMAGE REGIONS

This application is a continuation in part of U.S. Patent Application Serial No. 09/050,304, filed on 3/30/98 for Region-Based Information Compaction as for Digital Images, which is herein incorporated by reference in its entirety.

5           The invention relates to information processing systems in general, and, more particularly, the invention relates to a method and apparatus for preserving a relatively high dynamic range of an information signal, such as a video information signal, processed via a relatively low dynamic range information processing system.

BACKGROUND OF THE DISCLOSURE

10           In some communications systems the data to be transmitted is compressed so that the available bandwidth is used more efficiently. For example, the Moving Pictures Experts Group (MPEG) has promulgated several standards relating to the compression of moving images and digital data delivery systems. The first, known as MPEG-1 refers to ISO/IEC standards 11172 and is incorporated herein by reference. The second, known as MPEG-2,  
15           refers to ISO/IEC standards 13818 and is incorporated herein by reference. A compressed digital video system is described in the Advanced Television Systems Committee (ATSC) digital television standard document A/53, and is incorporated herein by reference.

          The above-referenced standards describe data processing and manipulation techniques that are well suited to the compression and delivery of video, audio and other  
20           information using fixed or variable length digital communications systems. In particular, the above-referenced standards, and other "MPEG-like" standards and techniques, compress, illustratively, video information using intra-frame coding techniques (such as run-length coding, Huffman coding and the like) and inter-frame coding techniques (such as forward and backward predictive coding, motion compensation and the like). Specifically,  
25           in the case of video processing systems, MPEG and MPEG-like video processing systems are characterized by prediction-based compression encoding of video frames with or without intra- and/or inter-frame motion compensation encoding.

          Within respect to still images (or single image frames), several well known standards are utilized to effect compression of image information. For example, the Joint

-2-

Photographic Experts Group (JPEG) has promulgated a several standard relating to the compression of still images, most notably the IS 10918-1 (ITU-T T.81) standard, which is the first of a multi-part set of standards for still image compression.

5 In the context of digital video processing and digital image processing, information such as pixel intensity and pixel color depth of a digital image is encoded as a binary integer between 0 and  $2^{n-1}$ . For example, film makers and television studios typically utilize video information having 10-bit pixel intensity and pixel color depth, which produces luminance and chrominance values of between zero and 1023. While the 10-bit dynamic range of the video information may be preserved on film and in the studio, the above-referenced  
10 standards (and communication systems adapted to those standards) typically utilize a dynamic range of only 8-bits. Thus, the quality of a film, video or other information source provided to an ultimate information consumer is degraded by dynamic range constraints of the information encoding methodologies and communication networks used to provide such  
-information to a consumer.

15 Therefore, it is seen to be desirable to provide a method and apparatus to preserve the dynamic range of film, video and other forms of relatively high dynamic range information that are encoded and transported according to relatively low dynamic range techniques. Moreover, it is seen to be desirable to provide such dynamic range preservation while utilizing economies of scale inherent to these relatively low dynamic range  
20 techniques, such as the above-referenced MPEG-like standards and techniques.

#### SUMMARY OF THE INVENTION

The invention comprises a method and apparatus for preserving the dynamic range of a relatively high dynamic range information stream, illustratively a high resolution video signal, subjected to a relatively low dynamic range encoding and/or transport process(es).  
25 The invention subjects the relatively high dynamic range information stream to a segmentation and remapping process whereby each segment is remapped to the relatively low dynamic range appropriate to the encoding and/or transport process(es) utilized. An auxiliary information stream includes segment and associated remapping information such that the initial, relatively high dynamic range information stream may be recovered in a  
30 post-encoding (i.e. decoding) or post-transport (i.e., receiving) process.

Specifically, a method for encoding an information frame according to the invention comprises the steps of: dividing the information frame into a plurality of information regions, at least one of the information regions comprising at least one information parameter having associated with it a plurality of intra-region values bounded by upper and lower value limits defining a dynamic range of the information parameter; determining, for each of the at least one information region, a respective maximal value and a minimal value of the at least one information parameter; remapping, for each of the at least one information regions and according to the respective determined maximal and minimal values, the respective plurality of intra-region values of the at least one information parameter; and encoding each information region.

#### BRIEF DESCRIPTION OF THE DRAWING

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying figures, in which:

FIG. 1 depicts a information distribution system;

FIG. 2 is a flow diagram of a combined information stream encoding method and decoding method;

FIG. 3A depicts an image that has been divided into a plurality of regions using a pixel coordinate technique;

FIG. 3B depicts an image that has been divided into a plurality of single macroblock regions defined by row and column;

FIG. 4A depicts a diagram illustrative of a non-linear encoding function;

FIG. 4B depicts a diagram illustrative of a non-linear decoding function associated with the encoding function of FIG. 4A; and

FIG. 5 depicts a high level function block diagram of an encoding and decoding method and apparatus.

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

DETAILED DESCRIPTION

After considering the following description, those skilled in the art will clearly realize that the teachings of the invention can be readily utilized in any information processing system in which relatively high dynamic range information is subjected to relatively low dynamic range processing (e.g., encoding), and subsequently reprocessed (e.g., decoded) to reproduce, ideally, the original high dynamic range information or an approximation thereto.

While the invention will primarily be discussed within the context of multiple or moving image processed (e.g., MPEG-like video processing), it will be appreciated by those skilled in the art that the teachings of the present invention are readily applicable to single or still image processing (e.g., JPEG-like image processing). More generally, the teachings of the present invention are applicable to any form of information comprising one or more information parameters having associated with them a relatively high dynamic range. The invention provides the capability to reduce that dynamic range for, e.g., processing or transport, and subsequently restore that dynamic range.

FIG. 1 depicts an information distribution system 100 that encodes, illustratively, a 10-bit dynamic range information stream using a pre-processing function to produce a range enhancement information stream, and an 8-bit encoding process, illustratively an MPEG-like encoding process, to produce an 8-bit encoded information stream. The 8-bit encoded information stream and the range enhancement information stream are transported to, e.g., a receiver. At the receiver, the 8-bit encoded information stream is subjected to a decoding process, illustratively an MPEG-like decoding process, to produce an 8-bit decoded information stream. A post-processing function utilizes the range enhancement information stream to enhance the dynamic range of the 8-bit decoded information stream such that the original 10-bit dynamic range is substantially restored.

The system 100 of FIG. 1 comprises an information coding section (10-30) suitable for use by, illustratively, an information provider such as a television studio; an information distribution section (35), illustratively a communication channel such as a terrestrial broadcast channel; and an information decoding section (40-60), suitable for use by, illustratively, an information consumer having an appropriate decoding device.

-5-

The information coding section comprises a region map and scale unit 10 that receives a relatively high dynamic range information signal S1, illustratively a 10-bit dynamic range video signal, from an information source such as a video source (not shown). The region map and scale unit 10 divides each picture-representative, frame-representative or field-representative portion of the 10-bit video signal S1 into a plurality of, respectively,  
5 sub-picture regions, sub-frame regions or sub-field regions. The operation of region map and scale unit 10 will be described in more detail below with respect to FIG 2. Briefly, each of the plurality of regions are processed to identify, illustratively, a maximum luminance level ( $Y_{MAX}$ ) and a minimum luminance level ( $Y_{MIN}$ ) utilized by pixels within the processed  
10 region. The luminance information within each region is then scaled (i.e., remapped) from the original 10-bit dynamic range (i.e., 0 to 1023) to an 8-bit dynamic range having upper and lower limits corresponding to the identified minimum luminance level ( $Y_{MIN}$ ) and maximum luminance level ( $Y_{MAX}$ ) of the respective region to produce, at an output, an 8-bit baseband video signal S3. The maximum and minimum values associated with each region,  
15 and information identifying the region, are coupled to an output as a map region ID signal S4. In the case of, e.g., a region not requiring dynamic range compaction, the map region ID signal may comprise an empty set.

An encoder 15, illustratively an MPEG-like video encoder (or JPEG-like image encoder), receives the remapped, 8-bit baseband video (or image) signal S3 from the region  
20 map and scale unit 10. The encoder 15 encodes the 8-bit baseband video signal to produce a compressed video signal S5, illustratively an MPEG-like video elementary stream.

An audio encoder 20, illustratively an MPEG-like audio encoder, receives a baseband audio signal S2 from an audio source (not shown). The baseband audio signal S2 is, typically, temporally related to the baseband video signal S3. The audio encoder 20  
25 encodes the baseband audio signal to produce a compressed audio signal S16, illustratively an MPEG-like audio elementary stream. It must be noted that audio encoder 20, and other audio functionality to be described later, is not strictly necessary to the practice of the invention.

A service multiplexer 25 wraps the map region ID signal S4, the elementary stream  
30 S5 and the audio elementary stream S16 into respective variable-length or fixed length

-6-

packet structures known as packetized elementary streams. The packetized elementary streams (PES) are combined to form a multiplexed PES S6. The PES structure provides, e.g., functionality for identification and synchronization of decoding and presentation of the video, audio and other information. A transport encoder 30 converts the PES packets of  
5 multiplexed PES S6 into fixed-length transport packets in a known manner to produce a transport stream S7.

It should be noted that the map region ID signal S4 may be communicated to an end user (e.g., a decoder) via a plurality of means within the context of, e.g., the various communications standards. User private data tables and private data or message descriptors  
10 incorporating the map region ID signal S4 may be placed in designated locations throughout messages as described in the MPEG and ATSC standards. The use of such data, and other MPEG, ATSC, DVB and similar private, user or auxiliary data communication formats is contemplated by the inventors. For example, since the map region ID signal S4 includes information corresponding to encoded region information within the elementary stream S5,  
15 in one embodiment of the invention the map region ID signal is included as private data within the multiplexed elementary stream S5.

The map region ID signal S4 may be communicated as an auxiliary data stream, an MPEG-like data stream or a user private data or message stream. Private data may comprise a data stream associated with a particular packet identification (PID), private or user data  
20 inserted into, e.g., a payload or header portion of another data stream (e.g., a packetized elementary stream including the elementary stream S5) or other portions of an information stream. In the case of a transport stream, the map region ID signal S4 is optionally incorporated into a transport stream private section.

In one embodiment of the invention, the transport encoder includes, in a private data  
25 section of the transport stream being formed, the dynamic range enhancement stream. In another embodiment of the invention, the transport encoder associated the encoded information stream and the associated dynamic range enhancement stream with respective packet identification (PID) values. In another embodiment of the invention, the transport encoder incorporates, into a packetized stream, the encoded information stream.  
30 Additionally, the transport encoder includes, within a header portion of the packetized



-7-

stream incorporating the encoded information stream, the associated dynamic range enhancement stream.

The information distribution section comprises a communications network 35, illustratively a terrestrial broadcast, fiber optic, telecommunications or other public or private data communications network. The communications network receives the transport stream S7 produced by the information coding section; modulates or encodes the transport stream S7 to conform to the requirements of the communications network (e.g., converting the MPEG transport stream S7 into an asynchronous transfer mode (ATM) format); transmits the modulated or encoded transport stream to, e.g., a receiver; and demodulates or decodes the modulated or encoded transport stream to produce an output transport stream S8.

The information decoding section comprises a transport decoder 40 that converts the received transport stream S8 into a multiplexed PES S9. The multiplexed PES S9 is demultiplexed by a service demultiplexer 45 to produce a map region ID signal S14, a video elementary stream S12 and an audio elementary stream S10 corresponding to, respectively, map region ID signal S4, elementary stream S5 and audio elementary stream S16.

The video elementary stream S12 is decoded in a known manner by a video decoder 55 to produce, an 8-bit baseband video signal S13 corresponding to the remapped 8-bit baseband video signal S3. The audio elementary stream S10 is decoded in a known manner by an audio decoder 50 to produce a baseband audio output signal S11, corresponding to the baseband audio signal S2, which is coupled to an audio processor (not shown) for further processing.

An inverse region map and scale unit 60 receives the 8-bit baseband video signal S13 and the map region ID signal S14. The inverse region map and scale unit 60 remaps the 8-bit baseband video signal S13, on a region by region basis, to produce a 10-bit video signal S15 corresponding to the original 10-bit dynamic range video signal S1. The produced 10-bit video signal is coupled to a video processor (not shown) for further processing. The operation of inverse region map and scale unit 60 will be described in more detail below with respect to FIG. 2. Briefly, the inverse region map and scale unit 60 retrieves, from the map region ID signal S14, the previously identified maximum luminance

level ( $Y_{MAX}$ ) and minimum luminance level ( $Y_{MIN}$ ) associated with each picture, frame or field region, and any identifying information necessary to associate the retrieved maximum and minimum values with a particular region within the 8-bit baseband video signal S13.

The luminance information associated with each region is then scaled (i.e., remapped) from the 8-bit dynamic range bounded by the identified minimum luminance level ( $Y_{MIN}$ ) and maximum luminance level ( $Y_{MAX}$ ) associated with the region to the original 10-bit (i.e., 0-1023) dynamic range to produce the 10-bit video signal S15. It will be appreciated by those skilled in the art that other high dynamic range parameters associated with an information signal (e.g., chrominance components, high dynamic range audio information and the like) may also be advantageously processed using the apparatus and method of the invention.

As previously noted, the map region ID signal S4 may be communicated to an end user (e.g., a decoder) via a plurality of means within the context of, e.g., the various communications standards. Thus, in one embodiment of the invention, the map region ID signal S4 is recovered from a private data section of said transport stream. In another embodiment of the invention, the map region ID signal is associated with a respective identification (PID) value and recovered using that value. In another embodiment of the invention, the encoded information stream is recovered from a packetized stream associated with a predefined packet identification (PID) value, while the map region ID signal is retrieved from a header portion of the packetized stream associated with the predefined packet identification (PID) value.

FIG. 2 is a flow diagram of a combined information stream encoding method and decoding method. The method 200 is entered at step 210 when a relatively high dynamic range information stream comprising a plurality of logical information frames is received by, e.g., region map and scale unit 10. The method 200 proceeds to step 215, where each logical information frame of the received information stream is divided into regions according to, illustratively, the criteria depicted in box 205 which includes: fixed or variable coordinate regions based on picture, frame, field, slice macroblock, block and pixel location, related motion vector information and the like. In the case of a video information stream, any exemplary region comprises a macroblock region size.

After dividing the logical information frames into regions (step 215) the method 200 proceeds to step 220, where the maximum and minimum values of one or more parameters of interest are determined for each region. In the case of a video information signal, a parameter of interest may comprise a luminance parameter (Y), color difference parameter (U, V), motion vector and the like.

The method 200 then proceeds to step 225, where the parameters of interest in each pixel of each region are remapped to a parameter value range bounded by respective maximum and minimum parameter values. That is, if the parameter of interest of a pixel is a luminance parameter, all the luminance parameters within a particular region are remapped to a range determined by the maximum luminance value and the minimum luminance value within the particular region as previously determined in step 220.

The above described steps of regional division of logical frames, maximum and minimum parameter(s) determination and remapping comprise the steps necessary to generate an information stream and an associated dynamic range enhancements stream. Specifically, dynamic range degradation visited upon the information stream due to a subsequent, relatively low dynamic range processing step (e.g., step 230 below), may be largely corrected by a second, subsequent processing step (e.g., steps 240-245 below). This concept is critical to the understanding of the invention.

After remapping all of the parameters of interest in one or more regions (step 225), the method 200 proceeds to step 230, where the information within the region is encoded, to produce an encoded information stream. In the case of a video information stream, encoding may comprise one of the MPEG-like encoding standards referenced above. The method 200 then proceeds to step 235, where the encoded information stream, maximum and minimum data associated with each region of the encoded information stream, and information sufficient to associate each region with its respective maximum and minimum parameter(s) information are transported to, e.g., a receiver. The method 200 then proceeds to step 240, where the encoded information stream is decoded to produce a decoded information stream.

It is important to note that the dynamic range of the decoded information stream, specifically the dynamic range of the parameters of interest in the decoded information

stream, will not exceed the dynamic range of the encoding or processing methodology employed in, e.g., steps 230-235. Thus, in the case of a ten bit dynamic range luminance parameter of a video signal, and MPEG-like encoding and decoding methodology which utilizes an eight bit dynamic range will produce, at the decoder output, a video information stream having only an eight bit dynamic range luminance parameter.

After decoding the transported information stream (step 240), the method 200 proceeds to step 245, where the eight bit dynamic range decoded information stream is remapped on a region by region basis using the respective maximum and minimum values associated with the parameter or parameters of interest in each region. The resulting relatively high dynamic range information stream is then utilized at step 250.

The portions of the above-described method 200 related to regional division and remapping will now be described in more detail below. In addition, the relationship of the invention to information streams in general, and video information streams in particular, will also be described in more detail.

Information streams are typically segmented or framed according to a logical constraint. Each logical segment or frame comprises a plurality information elements, and each information element is typically associated with one or more parameters. In particular, video information streams are typically segmented in terms of a picture, frame or field. The picture, frame or field comprises a plurality of information elements known as picture elements (pixels). Each pixel is associated with parameters such as luminance information and chrominance information. In the case of MPEG-like systems, pixels are grouped into blocks or macroblocks. Pixels, blocks and macroblocks may also have associated with them motion parameters and other parameters. Each of the parameters associated with a pixel, block or macroblock is accurate to the extent that the dynamic range of the information defining the parameter is accurate. Moreover, preservation of the dynamic range of some parameters, such as pixel luminance, is more critical than preservation of the dynamic range of other parameters, such as block motion. As such, degradation of some parameters due to dynamic range constraints may be acceptable, while other parameters should be preserved with as high a fidelity as possible.

In the case of luminance parameters, in an image comprising very light areas (i.e., high intensity values) and very dark areas (i.e., low intensity values), the dynamic range of the luminance information representing the image may be fully utilized. That is, the value of luminance parameters associated with pixels in the image may be between (in a 10-bit  
5 dynamic range representation) from zero (black) to 1023 (white). Thus, if the dynamic range of the luminance information representing the image, illustratively a 10-bit studio image, exceeds the dynamic range of an information processing operation used to process the image, illustratively an 8-bit MPEG encoding operation, quantization errors will necessarily degrade the resulting processed image. However, by segmenting the image into  
10 smaller regions, the probability that the full 10-bit dynamic range of the luminance information is utilized in a region decreases.

Regions may be selected according to any intra-frame selection criteria. For example, in the case of a video information frame, appropriate criteria include scan lines, regions defined by pixel coordinates, blocks, macroblocks, slices and the like. In general,  
15 the smaller the region selected, the greater the probability of preserving the full dynamic range of the information element parameter.

FIG. 3A depicts an image 300 that has been divided into a plurality of regions 301-307 using a pixel coordinate technique. In an embodiment of the invention utilizing region partitioning of an image according to FIG. 3A, identifying indicia of region location  
20 comprise pixel coordinates defining, e.g., corners or edges of the regions.

FIG. 3B depicts an image 300 that has been divided into a plurality of single macroblock regions defined by row ( $R_1$ - $R_N$ ) and column ( $C_1$ - $C_N$ ). Since the regions defined in FIG. 3B are much smaller than the regions defined in FIG. 3A, there is a greater probability of preserving the dynamic range of the parameters of interest forming the image.  
25 In an embodiment of the invention utilizing region partitioning of an image according to FIG. 3B, identifying indicia of region location comprise macroblock address, as defined by row (i.e., slice) number and column number. A simpler method of region identification comprises identifying each region (i.e., macroblock) by a macroblock offset value representing the number of macroblocks from the start of a picture (i.e., the number of  
30 macroblocks from the top left, or first, macroblock).

A simple linear remapping of, e.g., pixel luminance or chrominance parameters from an original dynamic range to a target dynamic range may be represented by equation 1, where TP = Target Pixel; OP = Original Pixel; TR = Target Range; and OR = original Range. In the case of remapping a 10-bit pixel (such as used in a studio) to an 8-bit pixel (such as used in MPEG-like processing systems), equation 1 becomes equation 2. Similarly, in the case of remapping the 8-bit pixel back to a 10-bit pixel equation 1 becomes equation 3. It should be noted that the quantities or results within the floor function operators  $\lfloor \rfloor$  are rounded down to the nearest integer value.

$$TP = \lfloor OP * (TR/OR) + 0.5 \rfloor \quad (\text{eq. 1})$$

$$TP = \lfloor OP * (256/1024) + 0.5 \rfloor \quad (\text{eq. 2})$$

$$TP = \lfloor OP * (1024/256) + 0.5 \rfloor \quad (\text{eq. 3})$$

Using equation 2, an OP of 525 will result in a TP of 131. Using equation 3, an OP of 131 will result in a TP of 524. It can be seen that the process of linear remapping from a 10-bit dynamic range to an 8-bit dynamic range and back to the 10-bit dynamic range results in a loss of information due to quantization errors.

The above equations 1-3 mathematically illustrate the quantization error inherent in present remapping functions. By contrast, the below described remapping equations 4 and 5 are suitable for use in, respectively, the remapping equations suitable for use in, respectively, the region map and scale unit 10 and inverse region map and scale unit 60 of FIG. 1.

In one embodiment of the invention a linear remapping function, such as the exemplary linear remapping function of equation 4, is utilized, where TP = Target Pixel; OP = Original Pixel; TR = Target Range; MAX = maximum parameter value and MIN = minimum parameter value. In the case of a minimum of a 10-bit system having a regional minimum of 400 and a regional maximum of 600, equation 4 becomes equation 5.

$$TP = \lfloor (OP - MIN) * (TR/(MAX-MIN)) + 0.5 \rfloor \quad (\text{eq. 4})$$

$$TP = \lfloor (OP - 400) * (TR/(600-400)) + 0.5 \rfloor \quad (\text{eq. 5})$$

Within the context of the invention, a function such as equation 4 will be able to preserve the relatively high dynamic range of the original pixel parameter as long as the

difference between the maximum and minimum parameter values does not exceed a range defined by the ratio of the original dynamic range and the target dynamic range. That is, in the case of a 10-bit original dynamic range and an 8-bit target dynamic range where the ratio is 1023:255 (i.e., 4:1), the difference between the maximum and minimum values must not be greater than one fourth of the original dynamic range. Thus, a threshold level of dynamic range for each region is established that determines if the full, original dynamic range of the parameter will be preserved by the invention. Since, in equation 5, the difference between the maximum (600) and minimum (400) is less than one fourth of the 10-bit dynamic range (256), full 10-bit dynamic range will be preserved.

It must be noted that equations 4 and 5 should not in any way be construed as limiting the scope of the invention. Rather, equations 4 and 5 are presented as only one of a plurality of linear functions suitable for use in the invention. The invention may also be practiced using non-linear functions (such as gamma correction and companding functions). Moreover, the invention may be practiced using a combination of linear and non-linear functions to optimize data compaction. The linear and/or non-linear functions selected will vary depending on the type of information stream being processed, the typical distribution of parameters of interest within the information elements of that stream, the amount of dynamic range allowed for a given application, the processing constraints of the encoder and/or decoder operating on the information streams and other criteria.

To help ensure that the difference between the maximum and minimum values remains below the threshold level, it is desirable to reduce the size of the regions. However, a reduction in region size necessarily results in additional maximum and minimum information that must be identified and processed, though this overhead may not be significant as will now be demonstrated.

The above-described method advantageously provides substantially full dynamic range preservation of selected information element parameters in an information frame. The cost, in terms of extra bits necessary to implement the invention, e.g., the overhead due to the use of minimum and maximum pixel values for each region of a picture, will now be briefly discussed. Specifically, the additional number of bits to be transported by, e.g., the communications network 35 of FIG. 1 will be discussed.

Consider the case of preserving the 10-bit dynamic range of the luminance parameter of a video information stream processed according to an 8-bit dynamic range process. Assume that a small region size is selected, such as a 16x16 block of 8-bit pixels (monochrome). The 16x16 block of 8-bit pixels is represented by  $256 \times 8$  bits = 2048 bits.

5 Adding two 10-bit values, a minimum and a maximum, to this block increases the number of bits by 20 to 2068 bits, or an increase of about 1%. In return for this, the pixel intensity resolution is never worse than 8 bits, and may be as high as 10 bits, a factor of four improvement in the intensity depth resolution.

Consider the case of a 10-bit digital video stream according to the well known 4:4:4  
10 format. In this case the luminance (Y) and color difference (U, V) signals each have 10-bit dynamic range. Again, assuming that a small region size is selected, such as a 16x16 block of 8-bit pixels. The 8-bit pixels are represented by  $256 \times 8 \times 3$  bits = 6144 bits. In this case also, adding six 10-bit values, a minimum and a maximum for each of the luminance (Y) and color difference (U, V) signals, to this block increases the number of bits by 60 to 6204  
15 bits, or an increase of about 1%. In return for this, each of the luminance (Y) and color difference (U, V) signals are never worse than 8 bits, and may be as high as 10 bits, a factor of four improvement in the respective intensity and color depth resolutions.

Returning now to the first case, if all the pixels were to be represented by 10 bits, then the total number of bits would be  $256 \times 10 = 2560$  bits. In other words, full 10-bit  
20 representation would require 24% more bits than the regional coding described here. Thus, the method provides a substantial improvement in dynamic range without a correspondingly substantial increase in bit count. Moreover, by utilizing the method within the context of mass-produced encoder/decoder chipsets, such as the various implementations of the MPEG and MPEG-like compression standards, JPEG and JPEG-like compression standards (and  
25 other known techniques) the method leverages the cost-savings of existing 8-bit chipsets to provide a 10-bit (or higher) effective dynamic range.

The above-described embodiments of the invention achieve the desired result using linear compaction methods. However, in some applications it is desirable to process  
information using non-linear methods. For example, analog video signals are non-linearly  
30 processed (i.e., "gamma corrected") to compensate for non-linearity in, e.g., picture tubes in



television sets. Non-linear mapping methods according to the invention may be used to implement gamma correction and other functions while preserving the dynamic range of the underlying signal. Moreover, linear and non-linear methods may be used together.

Another scenario appropriate for non-linear processing in the mapping function  
5 occurs when there is a loss of accuracy because the original range and the target range are too far apart, even with the above-described intensity compaction methods. In this case, non-linear mapping is used to preserve the original pixel values (i.e., dynamic range) over some part of the range. This situation is depicted below with respect to FIGS. 4A and 4B, where the information located within a lower bit range (e.g., 0-131) is illustratively deemed  
10 to be more important than the information located within an upper bit range (e.g., 132-1023).

FIG. 4A depicts a diagram 4 illustrative of a non-linear encoding function. The diagram comprises an original dynamic range 410A of 1024 bits and a target dynamic range 420A of 255 bits. A signal 430A, 440A having a 1024 bit dynamic range is remapped into  
15 the 255 bit dynamic range space in two segments. The first segment 430A utilizes a substantially linear transfer function, while the second segment 440A utilizes a compressed transfer function. That is, the range of 0-131 in the original map is retained in the target map, while the range of 132 to 1023 in the original map is compressed into the 132-255 range of the target map.

20 FIG. 4B depicts a diagram illustrative of a non-linear decoding function associated with the encoding function of FIG. 4A. Thus, to retrieve, at a decoder, the information signal encoded according to a remapping function having the transfer function depicted in FIG. 4A, the decoder implements a remapping function having the transfer function depicted in FIG. 4B.

25 FIG. 5 depicts a high level function block diagram of an encoding and decoding method and apparatus according to the invention. Specifically, the encoding and decoding method and process comprises a function mapper 530, that is responsive to an information stream S1 received from, illustratively, a pixel source 510. The function mapper remaps the information stream S1 according to various function criteria  $f_c$  provided by a function

-16-

criteria source 520 to produce a remapped information stream S3 and an associated map information stream S4.

The remapped information stream S3 is coupled to an encoder 540 that encodes the remapped information stream S3 to produce an encoded information stream S5. The  
5 encoded information stream S5 and the map information stream S4 are transported to, respectively, a decoder 550 and an inverse function mapper 560.

The decoder 550 decodes the transported and encoded information stream to retrieve an information stream substantially corresponding to the initial remapped information stream.

10 The inverse function mapper 560 performs, in accordance with the transported map information stream S4, an inverse function mapping operation on the retrieved stream to produce an information stream substantially corresponding to the original information stream. It must be noted that the information stream produced by the inverse function mapper 560 may advantageously include linear and/or non-linear modifications in  
15 furtherance of the specific application (e.g., gamma correction and the like).

It should be noted that the function mapper 530 and inverse function mapper 560 may be operated in substantially the same manner as the region map and scale unit 10 and inverse region map and scale unit 60 depicted in FIG. 1.

In one embodiment of the invention, the remapping function performed by, e.g., the  
20 function mapper 530 or region map and scale unit 10 performs a remapping function according to an arbitrary function. An arbitrary function remapping of, e.g., pixel luminance or chrominance parameters from an original dynamic range to a target dynamic range may be represented by equation 6, where TP = Target Pixel; OP = Original Pixel; TR = Target Range; OR = original Range; MAX = maximum value; MIN = minimum value; and F = the  
25 arbitrary function.

$$TP = F(OP, MAX, MIN, TR) \quad (eq. 6)$$

It is important to note that the function F may take a number of forms and be implemented in a number of ways. For example, the function F may implement: 1) a simple linear function such as described above with respect to FIGS. 1-2; 2) a gamma

correction function that varies input video intensity levels such that they correspond to intensity response levels of a display device; 3) an arbitrary polynomial; or 4) a tabulated function (i.e., a function purely described in terms of a lookup table, where each input bit addresses a table to retrieve the contents stored therein).

- 5           In the case of remapping using a fixed gamma correction function, a function of the following form may be implemented:

$$TP = \lfloor F[(OP-MIN)^{\gamma} * TR / (MAX-MIN)^{\gamma}] + 0.5 \rfloor \quad (\text{eq. 7})$$

- In the case of remapping using a polynomial segment, illustratively a parabola ( $X^2+X$ ), a function of the following form may be implemented, assuming that the  
10       polynomial segment is never be less than 0 nor greater than the target range:

$$TP = \lfloor [(OP-MIN)^2 + (OP-MIN) * TR / [(MAX-MIN)^2 + (MAX-MIN)] + 0.5] \rfloor \quad (\text{eq. 8})$$

- In the case of remapping using a tabulated function, the table comprises an indexable array of values, where the index values are the original range and the values in the table are  
15       included in the target range. This allows any arbitrary mapping between the two ranges. Unless, like gamma correction, that mapping is one-way only (i.e., the remapping is not intended to be "unmapped"), then there an inverse table at the decoder 550 or inverse map and scale unit 60 will restore the original information values.

- It should be noted that the terms dynamic range enhancement stream and map region  
20       identification stream are used in substantially the same manner to describe information streams carrying auxiliary or other data suitable for use in recovering at least a portion of the dynamic range of an information stream processed according to the invention.

- Although various embodiments which incorporate the teachings of the present invention have been shown and described in detail herein, those skilled in the art can readily  
25       devise many other varied embodiments that still incorporate these teachings.

What is claimed is:

1. A method for encoding an information frame, comprising the steps of:  
dividing (215) said information frame into a plurality of information regions, at least one of said information regions comprising at least one information parameter having  
5 associated with it a plurality of intra-region values bounded by upper and lower value limits defining a dynamic range of said information parameter;  
determining (220), for each of said at least one information region, a respective maximal value and a minimal value of said at least one information parameter;  
remapping (225), for each of said at least one information regions and according to  
10 the respective determined maximal and minimal values, said respective plurality of intra-region values of said at least one information parameter; and  
encoding (230) each information region.
2. The encoding method of claim 1, wherein said steps of encoding and determining  
15 produce, respectively, an encoded information stream (55) and an associated dynamic range enhancement stream (54), said encoding method further comprising the step of:  
transport encoding (235) said encoded information stream and said associated dynamic range enhancement stream to form a transport stream for subsequent transmission.
- 20 3. The encoding method of claim 2, wherein said step of transport encoding comprises the step of:  
including, in a private data section of said transport stream, said dynamic range enhancement stream.
- 25 4. The encoding method of claim 2, wherein said step of transport encoding comprises the step of:  
associating said encoded information stream and said associated dynamic range enhancement stream with respective packet identification (PID) values.

5. The encoding method of claim 2, wherein said step of transport encoding comprises the steps of:

incorporating, into a packetized stream, said encoded information stream; and

5 including, within a header portion of said packetized stream, said associated dynamic range enhancement stream.

6. The encoding method of claim 1, wherein:

10 said information frame comprises an image frame and said at least one information parameter comprises at least one of a luminance parameter and a chrominance parameter; and

said steps of encoding and determining produce, respectively, an encoded image stream and an associated dynamic range enhancement stream.

15 7. A method for decoding an encoded information frame represented by a plurality of encoded information regions within an encoded information stream, where at least one of said plurality of encoded information regions comprises at least one information parameter having associated with it a plurality of remapped intra-region values, said method comprising the steps of:

20 decoding (240) each of said plurality of encoded information regions to form a corresponding plurality of decoded information regions, said decoded information regions representing a decoded information frame;

25 extracting (245), from a dynamic range enhancement stream associated with said encoded information stream, respective maximal and minimal values for each of said at least one information parameter having associated with it a plurality of remapped intra-region values; and

inverse remapping (245), according to said respective maximal and minimal values, each of said at least one information parameters of said at least one information regions having associated with it a respective plurality of remapped intra-region values.

8. The decoding method of claim 7, wherein said encoded information stream and said dynamic range enhancement stream associated with said encoded information stream comprises respective portions of a transport stream, said decoding method further  
5 comprising the step of:

demultiplexing (40) said transport stream to recover said encoded information stream and said dynamic range enhancement stream.

9. The decoding method of claim 8, wherein said step of demultiplexing comprises the  
10 step of:

retrieving, from a private data section of said transport stream, said dynamic range enhancement stream.

10. The decoding method of claim 8, wherein said step of demultiplexing comprises the  
15 step of:

retrieving, according to respective packet identification (PID) values, said encoded information stream and said associated dynamic range enhancement stream.

11. The decoding method of claim 8, wherein said step of demultiplexing comprises the  
20 step of:

retrieving, from a packetized stream associated with a predefined packet identification (PID) value, said encoded information stream, said encoded information stream; and

retrieving, from a header portion of said packetized stream associated with a  
25 predefined packet identification (PID) value, said associated dynamic range enhancement stream.

-21-

12. The decoding method of claim 9, wherein said decoded information frame comprises an image frame and said at least one information parameter comprises at least one of a luminance parameter and a chrominance parameter.

5 13. An apparatus for decoding an encoded information frame represented by a plurality of encoded information regions within an encoded information stream, where at least one of said plurality of encoded information regions comprises at least one information parameter having associated with it a plurality of remapped intra-region values, said apparatus comprising:

10 a decoder (55), for decoding each of said plurality of encoded information regions to form a corresponding plurality of decoded information regions, said decoded information regions representing a decoded information frame; and

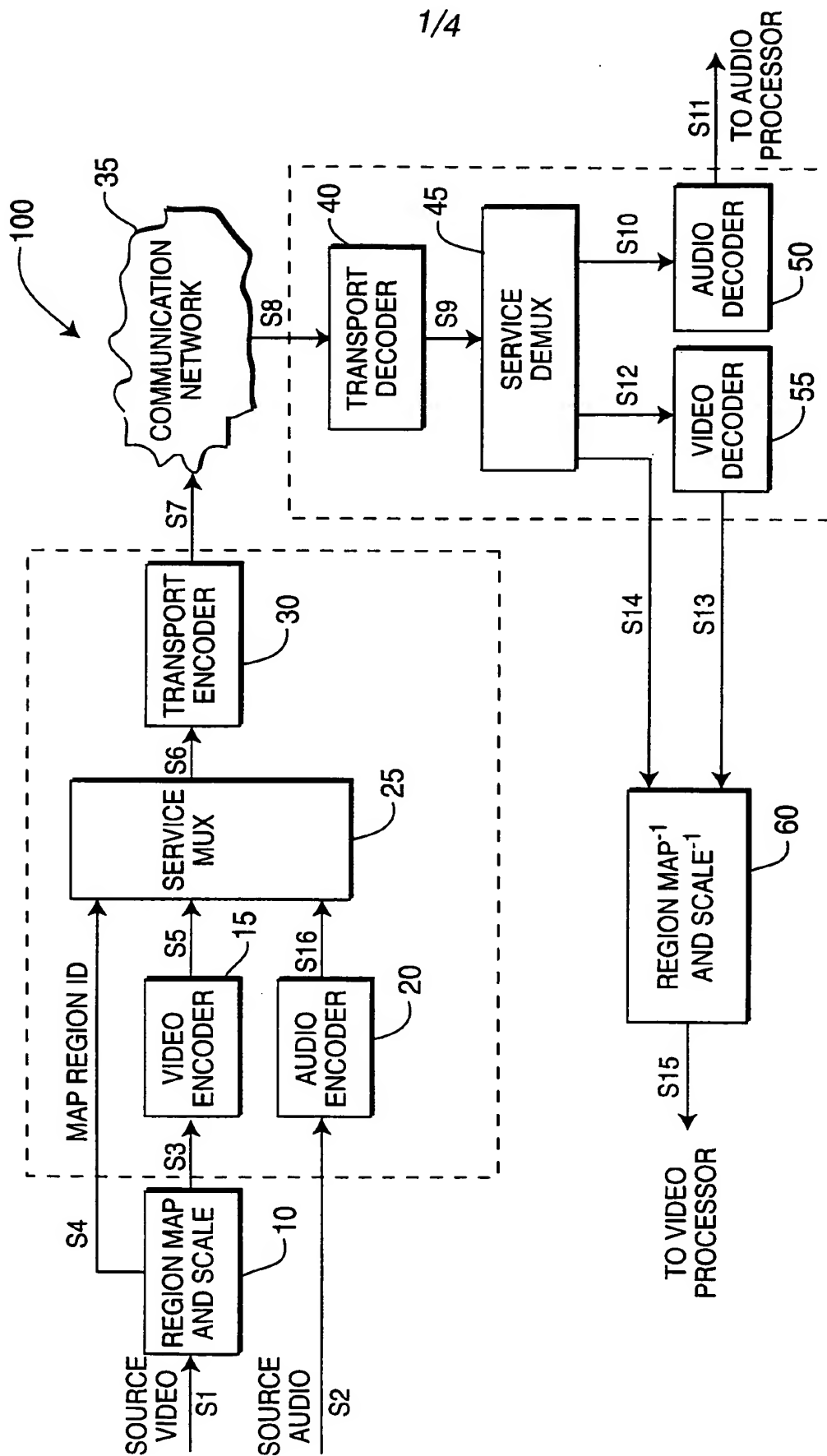
an inverse map and scale unit (60), for extracting, from a dynamic range enhancement stream associated with said encoded information stream, respective maximal and minimal values for each of said at least one information parameter having associated with it a plurality of remapped intra-region values;

15 said inverse map and scale unit inverse remapping, according to said respective maximal and minimal values, each of said at least one information parameters of said at least one information regions having associated with it a respective plurality of remapped intra-region values.

20 14. The apparatus of claim 13, wherein said encoded information stream and said dynamic range enhancement stream associated with said encoded information stream comprises respective portions of a transport stream, said apparatus further comprising:

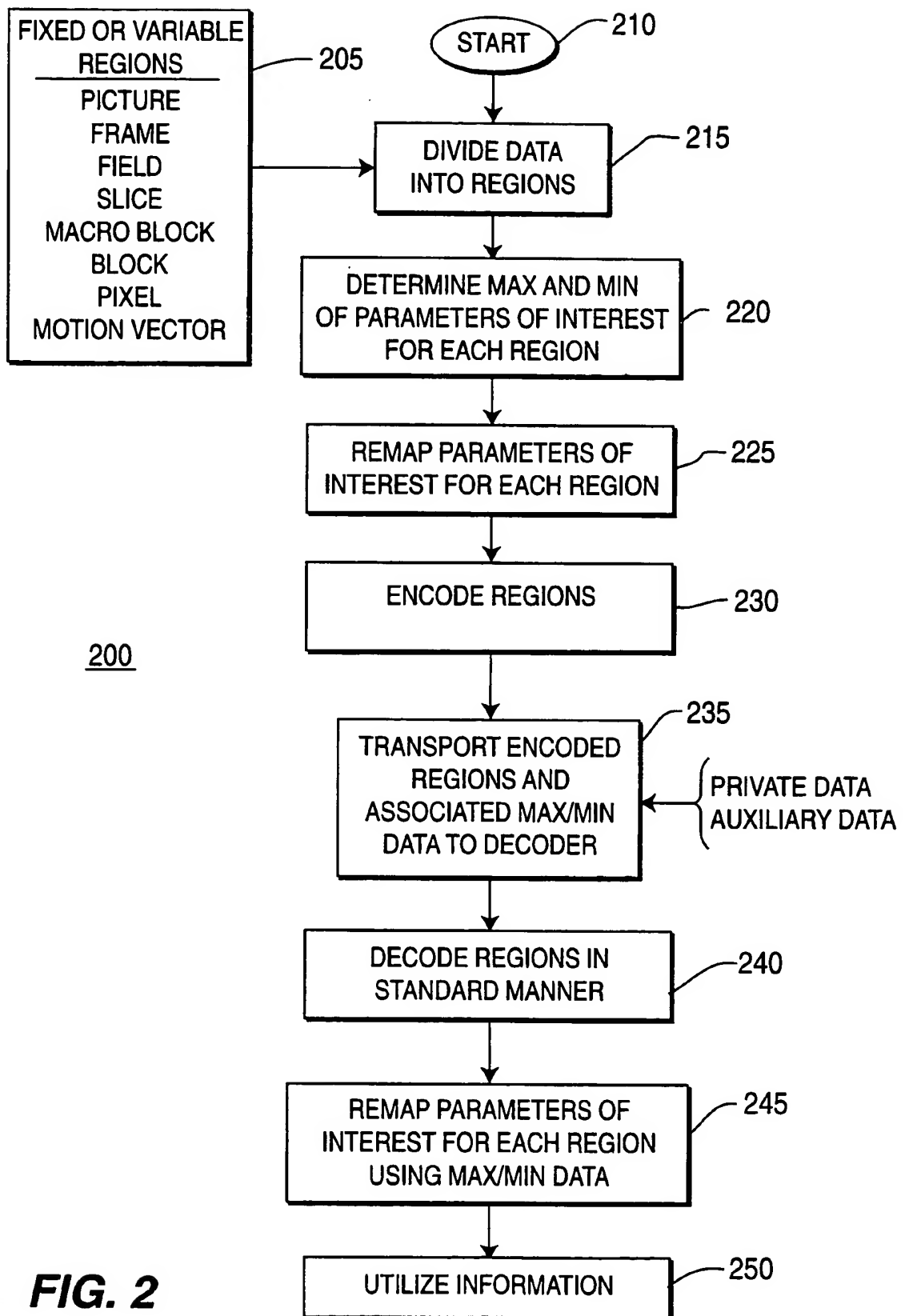
25 a transport decoder (40) and demultiplexer (45), for processing said transport stream to recover said encoded information stream and said dynamic range enhancement stream.

1/4

**FIG. 1**

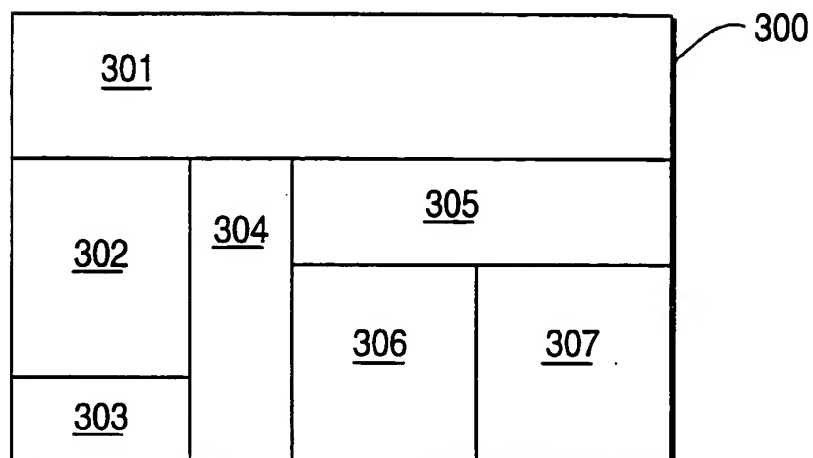
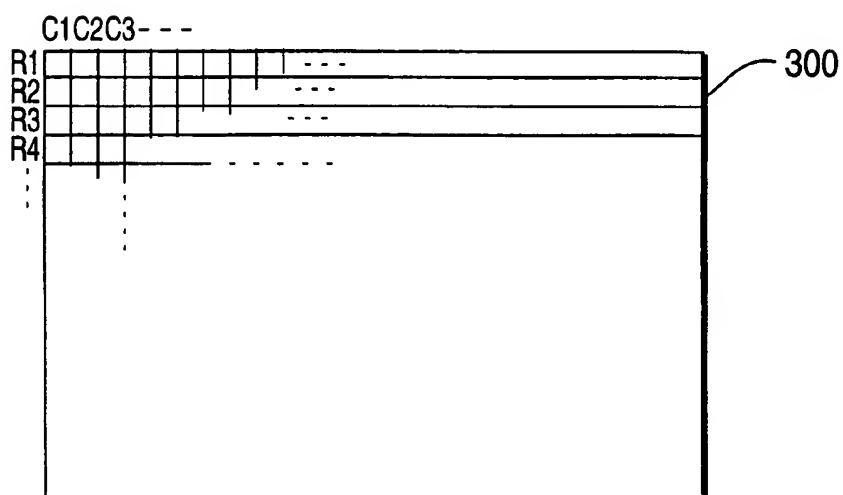


2/4

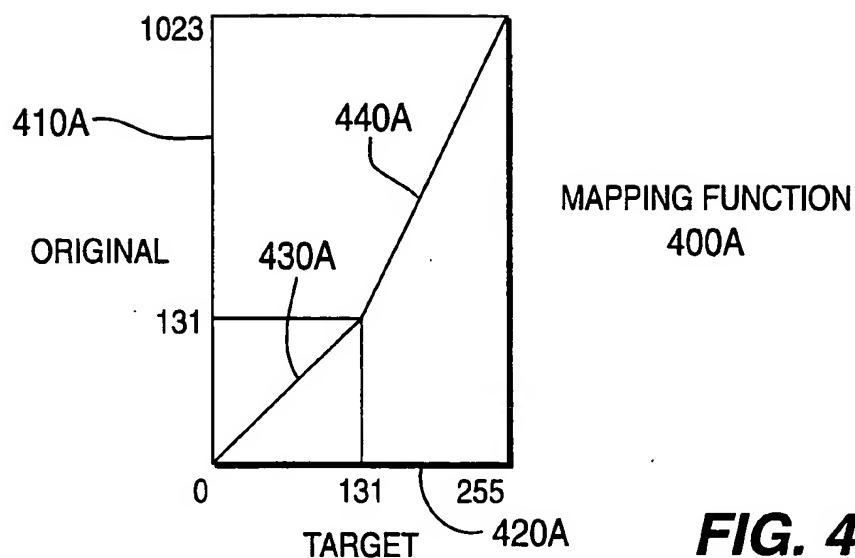
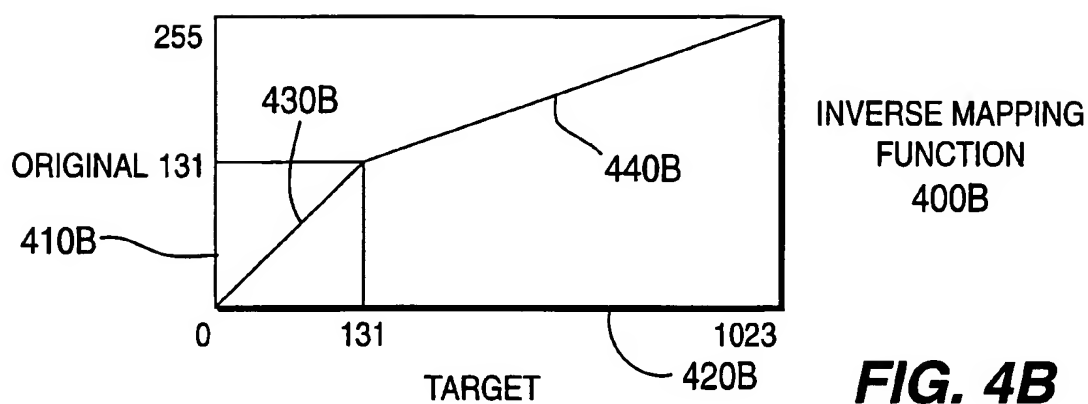
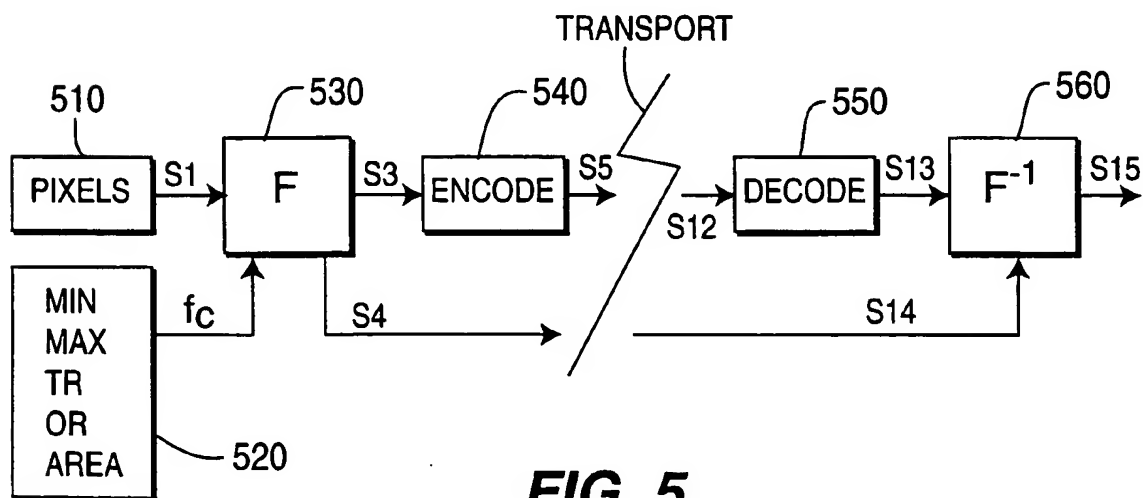


**FIG. 2**

3/4

**FIG. 3A****FIG. 3B**

4/4

**FIG. 4A****FIG. 4B****FIG. 5**

# INTERNATIONAL SEARCH REPORT

Int. Application No.

PCT/US 00/10295

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 H04N7/26 H04N7/52

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EP0-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 307 177 A (SHIBATA HIDEAKI ET AL) 26 April 1994 (1994-04-26) claim 1	1-14
P,X	WO 99 37096 A (SARNOFF CORP) 22 July 1999 (1999-07-22) the whole document	1,7,13
A	WO 97 47139 A (WITH PETER DE ;FRENCKEN PETER (NL); PHILIPS ELECTRONICS NV (NL); P) 11 December 1997 (1997-12-11) page 1, paragraph 1 page 6, paragraph 2 - paragraph 3 page 10, line 4 - line 17  -/-	1-14



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

16 August 2000

Date of mailing of the international search report

24/08/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3018

Authorized officer

Raeymaekers, P

# INTERNATIONAL SEARCH REPORT

Int. Patent Application No  
PCT/US 00/10295

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>"PRIVATE DATA" CH, GENEVA, ISO, 1994, pages 112-113, XP000619793 the whole document</p>	1-14

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/10295

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5307177	A	26-04-1994	JP	4185172 A	02-07-1992
WO 9937096	A	22-07-1999	AU	2216099 A	02-08-1999
			AU	2216199 A	02-08-1999
			WO	9937097 A	22-07-1999
WO 9747139	A	11-12-1997	EP	0842585 A	20-05-1998
			JP	11510989 T	21-09-1999